



Section 5
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: ARUMI

In re Application of:)
JOSE GARCIA ARUMI ET AL)
Appl. No.: 09/935,869) Group Art Unit: 3731
Filed: August 23, 2001)
For: MICRO SURGICAL INSTRUMENT)

**SUBMISSION OF MISSING PARTS OF NONPROVISIONAL
APPLICATION UNDER 37 C.F.R. 1.53**

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on <u>October 29, 2001.</u> (Date)	
<u>Ursula B. Day</u> (Name of Registered Representative)	
<i>Ursula B. Day</i> Signature	<u>Oct. 29 2001</u> Date of Signature

SIR:

In response to the Notice to File Missing Parts of Nonprovisional Application, Filing Date Granted, mailed on September 25, 2001, applicant herewith submits the following items for filing in the above-referenced U.S. Patent Application:

- ☐ An English translation of the originally filed German language application.
- The hereto attached English language text is an accurate translation of the originally filed German language application.
- ☐ Applicant claims small entity status pursuant to 37 C.F.R. 1.27.
- ☒ Declaration and Power of Attorney, dated August 28, 2001 and August 23, 2001, respectively.
- ☒ Assignment, signed and dated by the inventors on September 21, 2001.
- ☒ Cover sheet for Recordation of Assignment.
- ☐ Certified copies of German priority applications No. , filed ; No. , filed and No. , filed .
- ☒ Check in the amount of \$170.00 to cover the surcharge of \$130.00 for late filing of the Declaration and the fee of \$40.00 for recordation of the assignment.
- ☐ Filing Fee.

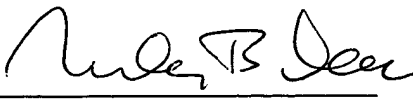
The filing fee is calculated as follows:		
Basic Fee:	\$	0.00
Additional Fees:		
Total number of claims: -20	\$	0.00
Number of independent claims: -3	\$	0.00
Submission of a multiple dependent claim:	\$	0.00
Reduction by 50% for a small entity	\$	0.00
TOTAL	\$	0.00

- ☒ Copy of Notice to File Missing Parts of Nonprovisional Application.
- ☐ Copy of Notice to File Corrected Application Papers.
- ☐ Preliminary Amendment.
- ☐ Information Disclosure Statement with ☐ references and ☐ PTO Form 1449.

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

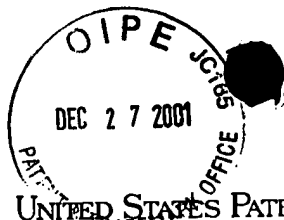
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0502.

Respectfully submitted,

By: 

Ursula B. Day, Esq.
Attorney for Applicant
Reg. No: 47,296

Date: October 29, 2001
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/935,869	08/23/2001	Jose Garcia Arumi	ARUMI

CONFIRMATION NO. 9449

FORMALITIES LETTER



OC000000006787327

Ursula B. Day
350 Fifth Avenue, Suite 3220
New York, NY 10118

Date Mailed: 09/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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